

## AMENDMENTS TO THE CLAIMS

- ginal) A submount, comprising:
- (a) a submount substrate; and
- (b) a solder layer that:
  - (b1) is formed on the top surface of the submount substrate; and
- (b2) has a surface roughness, Ra, of at most 0.18  $\mu m$  before the solder layer is melted.
- 2. (Original) A submount as defined by claim 1, wherein the solder layer has a surface roughness, Ra, of at most 0.15 μm before it is melted.
- 3. (Original) A submount as defined by claim 1, wherein the solder layer has a surface roughness, Ra, of at most 0.10 μm before it is melted.
- 4. (Original) A submount as defined by claim 1, wherein the solder in the solder layer has an average crystal-grain diameter of at most 3.5 μm before it is melted.
- 5. (Original) A submount as defined by claim 1, wherein the top surface of the submount substrate has a surface roughness, Ra, of at most  $0.10~\mu m$ .
- 6. (Original) A submount as defined by claim 1, the submount further comprising a solder-protecting barrier layer formed between the submount substrate and the solder layer.

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- 7. (Original) A submount as defined by claim 6, the submount further comprising an electrode layer formed between the submount substrate and the solder-protecting barrier layer.
- 8. (Original) A submount as defined by claim 7, the submount further comprising between the submount substrate and the solder-protecting barrier layer:
  - (a) an intimate-contact layer formed such that it makes contact with the top surface of the submount substrate; and
  - (b) an element diffusion-preventing layer formed on the intimate-contact layer; the electrode layer being placed on the element diffusion-preventing layer.
  - 9. (Original) A submount as defined by claim 8, wherein:
    - (a) the intimate-contact layer comprises titanium;
    - (b) the element diffusion-preventing layer comprises platinum;
    - (c) the electrode layer comprises gold;
    - (d) the solder-protecting barrier layer comprises platinum; and
    - (e) the solder layer comprises gold-tin-based solder.
- 10. (Original) A submount as defined by claim 1, wherein the submount substrate comprises an aluminum nitride-sintered body.
  - 11. (Cancelled)